Dkt. 2271/76611

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Daisuke SUZUKI et al.

Application No.: 10/586,797

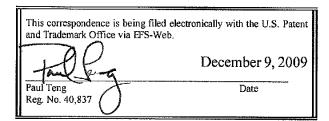
Group Art Unit: 2625

Date Filed: November 28, 2005

Examiner: Steven Kau

For:

IMAGE PROCESSING METHOD, PRINTER DRIVER, IMAGE PROCESSING APPARATUS, IMAGE FORMING APPARATUS AND IMAGE FORMING SYSTEM



30 Rockefeller Center, 20th Floor New York, New York 10112

Tel.: (212) 278-0400

**Processing Division's Customer Correction Branch** 

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## REQUEST FOR CORRECTED FILING RECEIPT AND CORRECTED NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C. 371 AND 37 CFR 1.495

Sir:

It is respectfully requested that the official Filing Receipt be corrected to reflect that the priority Foreign Application number is JP2004-344602, filed 11/29/2004 (and not JP2004-347937, filed 12/01/2004, as erroneously shown on the current Filing Receipt, a copy of which is attached hereto as Exhibit A).

Further, it is respectfully requested that the Notice Of Acceptance Of Application Under 35 U.S.C. 371 And 37 CFR 1.495 be corrected to reflect that the priority filing date of the foreign priority application is  $\frac{11/29}{2004}$  (and not  $\frac{12}{01/2004}$ , as erroneously shown on the current Notice Of Acceptance Of Application Under 35 U.S.C. 371 And 37 CFR 1.495, a copy of which is attached hereto as Exhibit B).

Attached hereto as **Exhibit C** is a copy of the Declaration And Power Of Attorney submitted July 19, 2006 with this application which indicates that the priority foreign application number is JP2004-344602, filed 11/29/2004.

In addition, pursuant to 37 C.F.R. 1.32(c)(3), applicant hereby requests that the following practitioners, who are also listed in the Declaration And Power Of Attorney submitted herewith as Exhibit C, be recognized by the Patent Office as being of record in connection with the above-identified application to which the power of attorney is directed:

Ivan S. Kavrukov (Reg. No. 25,161); Paul Teng (Reg. No. 40,837); Christopher C. Dunham (Reg. No. 22,031); William E. Pelton (Reg. No. 25,702); Wendy E. Miller (Reg. No. 35,615); Robert T. Maldonado (Reg. No. 38,232); Richard S. Milner (Reg. No. 33,970); and Richard F. Jaworski (Reg. No. 33,515).

The Patent Office is hereby authorized to charge any fees that are required in connection with this request to our Deposit Account No. 03-3125.

Respectfully submitted,

PAUL TENG, Reg. No. 40,837

Attorney for Applicant

COOPER & DUNHAM LLP 30 Rockefeller Plaza, 20<sup>th</sup> Floor

New York, New York 10112

Tel.: (212) 278-0400

# **EXHIBIT A**

to
REQUEST FOR CORRECTED FILING RECEIPT
(Application No. 10/586,797)



### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Dox 1450 Alexandria, Virginia 22313-1450

APPLICATION FILING or GRP ART FIL FEE RECD NUMBER 371(c) DATE UNIT ATTY.DOCKET.NO FOT CLAIMS IND CLAIMS 10/586.797 07/19/2006 2853 900 2271/76611 ā

**CONFIRMATION NO. 8762** 

23432 COOPER & DUNHAM, LLP 1185 AVENUE OF THE AMERICAS NEW YORK, NY 10036

**FILING RECEIPT** 

Date Mailed: 06/17/2008

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

#### Applicant(s)

Daisuke Suzuki, Kanagawa, JAPAN; Masakazu Yoshida, Kanagawa, JAPAN; Masanori Hirano, Kanagawa, JAPAN;

#### Power of Attorney:

Christopher Dunham--22031 Richard Milner--33970
Ivan Kavrukov--25161 Wendy Miller--35615
William Pelton--25702 Robert Maldonado--38232
Herbert Mintz--26691 Paul Teng--40837

Richard Jaworski--33515

#### Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP2005/022238 11/28/2005

#### Foreign Applications

JAPAN 2004-347937 12/01/2004

#### If Required, Foreign Filing License Granted: 06/07/2008

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 10/586.797** 

Projected Publication Date: 09/25/2008

Non-Publication Request: No Early Publication Request: No

page 1 of 3

#### Title

Image Processing Method, Printer Driver, Image Processing Apparatus, Image Forming Apparatus and Image Forming System

#### **Preliminary Class**

347

### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

#### LICENSE FOR FOREIGN FILING UNDER

Title 35, United States Code, Section 184

Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where

the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

# **EXHIBIT B**

to
REQUEST FOR CORRECTED FILING RECEIPT
(Application No. 10/586,797)



### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1430 Alexandria, Virginia 32313-1450 www.uspho.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY, DOCKET NO. Daisuke Suzuki

10/586,797

2271/76611

23432 COOPER & DUNHAM, LLP 1185 AVENUE OF THE AMERICAS NEW YORK, NY 10036

INTERNATIONAL APPLICATION NO. PCT/JP2005/022238

I.A. FILING DATE PRIORITY DATE 11/28/2005 12/01/2004

> **CONFIRMATION NO. 8762 371 ACCEPTANCE LETTER**



Date Mailed: 06/17/2008

#### NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

07/19/2006

06/01/2007

DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS

DATE OF COMPLETION OF ALL 35 U.S.C. 371 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. THE DATE APPEARING ON THE FILING RECEIPT AS THE "FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1), (c)(2) and (c)(4) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE, THIS DATE IS SHOWN ABOVE. The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- Copy of the International Application filed on 07/19/2006
- English Translation of the IA filed on 07/19/2006
- Copy of the International Search Report filed on 07/19/2006
- Copy of IPE Report filed on 07/19/2006
- Information Disclosure Statements filed on 07/19/2006
- Oath or Declaration filed on 07/19/2006
- U.S. Basic National Fees filed on 07/19/2006
- Assignment filed on 07/19/2006
- Priority Documents filed on 07/19/2006

Applicant is reminded that any communications to the United States Patent and Trademark Of	fice must be mailed
to the address given in the heading and include the U.S. application no. shown above (37 CFF	₹ 1.5)

CHARITTA A SHELTON	
Telephone: (703) 308-9140 EXT 207	

# **EXHIBIT C**

to
REQUEST FOR CORRECTED FILING RECEIPT
(Application No. 10/586,797)

## **DECLARATION AND POWER OF ATTORNEY**

As a below-named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

## IMAGE PROCESSING METHOD, PRINTER DRIVER, IMAGE PROCESSING APPARATUS, IMAGE FORMING APPARATUS AND IMAGE FORMING SYSTEM

the specification ( (check one)	of which:		
	is attached	hereto.	
	X was filed or	n <u>November 28, 2005</u> as	
	Application Serial	No. <u>PCT/JP2005/022238</u>	
	and was amended		
		(if applicable)	
including the clair I acknowledge the me to be material	ms, as amended by any a duty to disclose to the to to patentability as defir	nderstand the contents of the abo amendment referred to above. U.S. Patent and Trademark Offic ned in Title 37, Code of Federal	ce all information known to Regulations, Section 1.56.
Section 365(b) of any PCT Internati listed below. I ha	any foreign application ional Application which ve also identified below onal Application having	under Title 35, United States C (s) for patent or inventor's certi designated at least one country of any foreign application for pate g a filing date before that of the	ficate, or Section 365(a) o other than the United State. ent or inventor's certificate
Prior Foreign App	plication(s)		Priority Claimed
<u>Number</u>	<u>Country</u>	Filing Date	<u>Yes</u> <u>No</u>
Patent Application	n(s)		
<u> 2004-344602</u>		<u>November 29, 2004</u>	<u>x</u> _

Declaration and Power Page 2	of Attomey	Attomey Docket No	
I hereby claim the benefit provisional application(s)		States Code, Section 119(e) of any	United States
Provisional Application N	o. <u>Filing Date</u>	<u>Status</u>	
Application(s), or Section States listed below. Insofa disclosed in any such pric United States Code, Section Trademark Office all infor Code of Federal Regulation	t 365(c) of any PCT Interior as this application discover Application in the materior 112, I acknowledge the mation known to me to lons, Section 1.56, which be	d States Code, Section 120 of any ernational Application(s) designat loses and claims subject matter in a mner provided by the first paragraph e duty to disclose to the United State material to patentability as defined awailable between the filing mational filing date of this applicational filing date of this applicational filing date.	ing the United addition to that uph of Title 35, utes Patent and ned in Title 37, date(s) of such

## And I hereby appoint

Ivan S. Kavrukov (Reg. No. 25,161); Christopher C. Dunham (Reg. No. 22,031); William E. Pelton (Reg. No. 25,702); Peter J. Phillips (Reg. No. 29,691); Wendy E. Miller (Reg. No. 35,615); Richard S. Milner (Reg. No. 33,970); Robert T. Maldonado (Reg. No. 38,232); Richard F. Jaworski (Reg. No. 33,515); and Paul Teng (Reg. No. 40,837)

and each of them, all c/o Cooper & Dunham LLP of 1185 Avenue of the Americas, New York, New York 10036, my attorneys, each with full power of substitution and revocation, to prosecute this application, to make alterations and amendments therein, to receive the patent, to transact all business in the Patent and Trademark Office connected therewith and to file any International Applications which are based thereon under the provisions of the Patent Cooperation Treaty.

Declaration	and Power	of Attorney
Page 3		_

Attomey Docket No	
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Please address all communications, and direct all telephone calls, regarding this application to:

Customer Number 23432 Cooper & Dunham, LLP 1185 Avenue of the Americas New York, New York 10036 Tel. (212) 278-0400

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of additional joint inventor(if any) <u>DAISUKE SUZUKI</u>			
Inventor's signature_	Daisuke Si	17nKi	
Citizenship	<u>Japan</u>	Date of signature	June 23, 2006
Residence	Kanagawa, Japan	!	
Post Office Address	c/o RICOH COMI 3-6, Nakamagome	PANY, LTD. 1-chome, Ohta-ku, Tokye	o 143-8555, Japan
Full name of additional joint inven	ntor(if any) <u>MASA</u>	IKAZU YOSHIDA	_
Inventor's signature_	Masakazo.	Yoslida	
Citizenship	<u>Japan</u>	Date of signature	June 23, 2006
Residence	Kanagawa, Japan		
Post Office Address		P <u>ANY, LTD.</u> 1-chome, Ohta-ku, Tokyo	o 143-8555, Japan

Declaration and Po Page 4	wer of Attomey	Atton	ey Docket No
Full name of additional joint inven	ntor(if any) <u>MASANC</u>	ORI HIRANO	
Inventor's signature_	masanori Hira	ais	
Citizenship	<u>Japan</u>	Date of signatur	e June 26, 2006
Residence	Kanagawa, Japan		•
Post Office Address	<u>c/o RICOH COMPAI</u> 3-6, Nakamagome 1-		Tokyo 143-8555, Japan